Transmittal Form w/ Declaration **SNY-039 Total Pages** Attorney Docket No. PATENT APPLICATION Naoki IMACHI First named Inventor or Application Identifier (Only for new nonprovisional applications Title of Invention LITHIUM SECONDARY BATTERY ADDRESS TO: **APPLICATION ELEMENTS** Commissioner for Patents **Box Patent Application** See MPEP chapter 600 concerning utility patent application Alexandria, VA 22313-1450 6. ☐ Microfiche Computer Program (Appendix) 2.

Specification [Total Pages 49] 7. Nucleotide and/or Amino Acid Sequence - Descriptive title of the Invention Submission - Cross References to Related Applications (if applicable, all necessary) - Statement Regarding Fed sponsored a.

Computer Readable Copy b. ☐ Paper Copy (identical to computer copy) - Reference to Microfiche Appendix c. □ Statement verifying identity of above copies - Background of the Invention - Brief Summary of the Invention **ACCOMPANYING APPLICATION PARTS** 8.

■ Assignment papers (cover sheet & document(s)) - Brief Description of the Drawings 9. - 37 CFR 3.73(b) Statement (When there is an assignee) ☐ Power of Attorney - Abstract of the Disclosure 10. ☐ English Translation Document (if applicable) 3. ⊠ Formal Drawing(s) (35 USC 113) [Total Sheets 3] 11. Ø Information Disclosure Statement (IDS) /PTO-4. Ø Oath or Declaration [Total pages 2] 1449 a.

Newly executed (original or copy) ☑ Copies of IDS Citations (6 docs) b.

Copy from a prior application 12. ☐ Preliminary Amendment [with Version with Markings to Show Changes Made] (for continuation/divisional with Box 17 completed) 13. ☑ Return Receipt Postcard (MPEP 503) [Note Box 5 below] 14.

Assertion to Entitlement to Small Entity Status i. DELETION OF INVENTOR(S) ☐ Assertion filed in prior application, status Signed statement attached deleting still proper and desired inventor(s) named in the prior 15.

☐ Certified Copy of Priority Document(s) application, see 37 CFR 1.63(d)(2) ☑ Priority of application No. 2002-244912 filed on August 26, 2002, in Japan is claimed 5.

Incorporation By Reference (useable if Box 4b is under 35 USC 119. ☐ The certified copy has been filed in prior The entire disclosure of the prior application, from application Serial No. 08/*. which a copy of the oath or declaration is supplied 16. ☐ Other: * under Box 4b, is considered as being part of the disclosure of the accompanying application and is **OTHER** hereby incorporated by reference therein. 17. ☐ Priority of * Patent Application No. * filed * is claimed under 35 USC 119. 18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

of prior application No.: 09/*

ZIP CODE

FAX

20006

202-887-9093

or

Correspondence address below

UTILITY

TRANSMITTAL

under 37 CFR 1.53(b))

1. Ø Fee Transmittal Form

(if filed)

- Claim(s)

checked)

□ Continuation

NAME

CITY

ADDRESS

FILING DATE

Customer Number or Bar Code Label

- Detailed Description

(37 CFR 1.63(d))

and 1.33(b).

□ Divisional

KUBOVCIK & KUBOVCIK

900 17th Street, N.W.

Washington

August 25, 2003

☐ Continuation-in part (CIP)

DC

202-887-9023

19. CORRESPONDENCE ADDRESS

020374

STATE

TEL



FEE TRANSMITTAL

Note: Effective January 1, 2003

Application Number	Not Yet Assigned
Filing Date	August 25, 2003
First Named Inventor	Naoki IMACHI
Group Art Unit	Not Assigned
Examiner Name	Not Assigned
Attorney Docket Number	SNY-039

CLAIMS AS FILED-PART 1		SMALL ENTITY		OTHER THAN SMALL ENTITY		
FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE	RATE	FEE
BASIC FEE (37 CFR 1.16 (a))			4	\$375.00		\$750.00
TOTAL CLAIMS (37 CFR 1.16 (c))	<u>17</u> - 20 =		\$9.00		\$18.00	\$0.00
INDEPENDENT CLAIMS (37 CFR 1.16 (B))	<u>1</u> - 3 =		\$42.00		\$84.00	\$0.00
MULTIPLE DEPENDENT (CLAIM PRESENT (37	CFR 1.16 (d))	\$140.00		\$280.00	
the state of the s			SUB TOTAL		SUB TOTAL	\$750.00
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SIGNATURE OF ATTORNEY, OR AGENT					
NAME	Keiko Tanaka Kubovcik	REGISTRATION No.	40,428		
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DATE	August 25, 2003	FAX	202-887-9093		

KTK/sb